

TPE  
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COP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Weaver et al.

Patent No.: 6,776,930 B2

Issued: August 17, 2004

Serial No.: 09/751,766

Group Art Unit: 1712

Filed: December 29, 2000

Examiner: Philip C. Tucker

For: METHOD FOR PREPARING LIGHT-ABSORBING POLYMERIC  
COMPOSITIONS

Commissioner for Patents

Certificate

P.O. Box 1450

SEP 20 2004

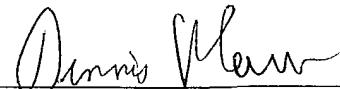
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322

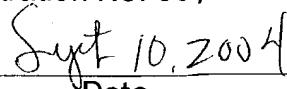
A Certificate of Correction is requested for the above-identified patent wherein the corrections are entirely based on mistakes due to printing and are not mistakes by the patentees. To substantiate that Eastman Chemical Company is the correct named Assignee on the face of the patent, patentees submit, herewith, a copy of assignments, a copy of the Issue Fee Transmittal, and a copy of the recordal of assignment in the name of Eastman Chemical Company. Accordingly, correction is requested.

Attached in duplicate is Form PTO/SB/44. Please return one copy when the Certificate of Correction has been approved.

Respectfully submitted,



Dennis V. Carmen  
Registration No. 35,007

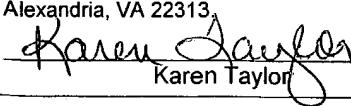


Date

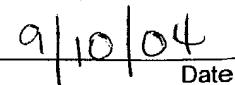
Eastman Chemical Company  
P.O. Box 511  
Kingsport, Tennessee 37662  
Phone: (423) 229-6189  
FAX: (423) 229-1239

## CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper(s) referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313.



Karen Taylor



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(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,776,930 *B2*

DATED : August 17, 2004

INVENTOR(S) : Weaver et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

### Correction(s)

On the front page of patent (73) Assignee "Eastman Kodak Company" should read

--Eastman Chemical Company--

MAILING ADDRESS OF SENDER:

Dennis V. Carmen  
Eastman Chemical Company  
P.O. Box 511  
Kingsport, TN 37662

PATENT NO. 6,776,930 *B2*

No. of additional copies



Burden Hour Statement: This form is estimated to take 1.0 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

21 SEP 2004



JUNE 29, 2000



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\*101343060A\*

JUL 06 2000

EASTMAN CHEMICAL COMPANY  
LEGAL DEPARTMENT

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/25/2000

REEL/FRAME: 010743/0029  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WEAVER, MAX A.

DOC DATE: 12/08/1999

ASSIGNOR:

KRUTAK, JAMES JOHN SR.

DOC DATE: 03/15/2000

ASSIGNOR:

MAXWELL, BRIAN E.

DOC DATE: 12/14/1999

ASSIGNOR:

RHODES, GERRY F.

DOC DATE: 12/08/1999

ASSIGNOR:

HILBERT, SAMUEL D.

DOC DATE: 12/09/1999

ASSIGNOR:

FLEISCHER, JEAN C.

DOC DATE: 12/09/1999

ASSIGNOR:

PARHAM, WILLIAM W.

DOC DATE: 03/02/2000

ASSIGNEE:

EASTMAN CHEMICAL COMPANY  
P.O. BOX 511  
KINGSPORT, TENNESSEE 37662

SERIAL NUMBER: 09320002  
PATENT NUMBER:

FILING DATE: 05/26/1999  
ISSUE DATE:

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Express Mail Cert. No.

EV 316 335 209 US

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

23342 7590 01/15/2004

KILPATRICK STOCKTON LLP  
 1001 WEST FOURTH STREET  
 WINSTON-SALEM, NC 27101



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/751,766	12/29/2000	Max Allen Weaver	32887.203735	5781

TITLE OF INVENTION: METHOD FOR PREPARING LIGHT-ABSORBING POLYMERIC COMPOSITIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	04/15/2004
EXAMINER	ART UNIT		CLASS-SUBCLASS		
TUCKER, PHILIP C	1712		252-582000		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Eastman Chemical Company

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Eastman Chemical Company

Kingsport, TN

Please check the appropriate assignee category or categories (will not be printed on the patent);  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

 Issue Fee Publication Fee Advance Order - # of Copies 3 A check in the amount of the fee(s) is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 16-1435 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) Samuel B. Rollins (Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

21 SEP 2004

TRANSMIT THIS FORM WITH FEE(S)

ASSIGNMENT

For good and valuable consideration received, we, Max Allen Weaver, James John Krutak, Sr., Brian Edison Maxwell, Gerry Foust Rhodes, Samuel David Hilbert, Jean Carroll Fleischer, and William Whitfield Parham do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of our inventions, discoveries and improvements disclosed in U.S. Provisional Application 60/031,478 filed November 27, 1996, for METHOD FOR PREPARING LIGHT-ABSORBING POLYMERIC COMPOSITIONS and any non-provisional U.S. application filed claiming priority thereto executed by Max Allen Weaver on the 27 day of November, 1997, James John Krutak, Sr., on the 21 day of November, 1997, Brian Edison Maxwell on the 21 day of November, 1997, Gerry Foust Rhodes on the 21 day of November, 1997, Samuel David Hilbert on the 24 day of November, 1997, Jean Carroll Fleischer on the 21 day of November, 1997, and William Whitfield Parham on the 24 day of November, 1997, and about to be filed in the United States Patent and Trademark Office, and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and we further agree that, upon request, but without expense to us, we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of

said inventions, discoveries or improvements and to testify in any ex parte or inter partes legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

Signed this 21 day of November, 1997

Max Allen Weaver  
Max Allen Weaver

STATE OF TENNESSEE )  
 ) ss.  
COUNTY OF SULLIVAN )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, Max Allen Weaver, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Linda P. Allison  
Notary Public

My Commission Expires

January 12, 1999

Docket: 70513

PATENT

Signed this 21 day of November, 1997

James John Krutak, Sr.  
James John Krutak, Sr.

STATE OF TENNESSEE )  
\_\_\_\_\_  
COUNTY OF SULLIVAN )  
                          )  
                          )  
                          )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, James John Krutak, Sr., to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Leila B. Allison  
Notary Public

My Commission Expires

January 12, 1999

Docket: 70513

PATENT

Signed this 21 day of November, 1997

Brian Edison Maxwell  
Brian Edison Maxwell

STATE OF TENNESSEE )  
 ) ss.  
COUNTY OF SULLIVAN )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, Brian Edison Maxwell, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Linda S. Allison  
Notary Public

My Commission Expires

January 12, 1999

Docket: 70513

PATENT

Signed this 21 day of November, 1997

Gerry Foust Rhodes  
Gerry Foust Rhodes

STATE OF TENNESSEE )  
 ) ss.  
COUNTY OF SULLIVAN )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, Gerry Foust Rhodes, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Linda S. Allison  
Notary Public

My Commission Expires

January 12, 1999

Docket: 70513

PATENT

Signed this 24 day of November, 1997

Samuel David Hilbert  
Samuel David Hilbert

STATE OF TENNESSEE )  
\_\_\_\_\_  
COUNTY OF SULLIVAN )  
) ss.  
)

On this 24 day of November, 1997 personally appeared before me,  
a Notary Public in and for Sullivan County, Samuel David Hilbert,  
to me known and known to me to be the person described in and who  
executed the foregoing instrument, and who acknowledged to me  
that he executed the same.

Linda B. Allison  
Notary Public

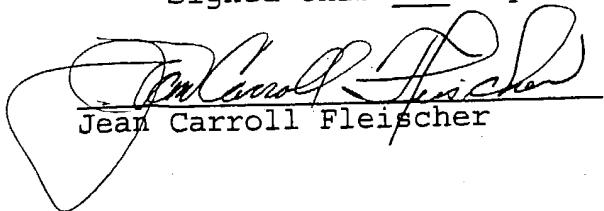
My Commission Expires

January 12, 1999

Docket: 70513

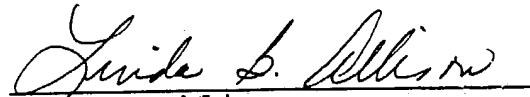
PATENT

Signed this 21<sup>st</sup> day of November, 1997

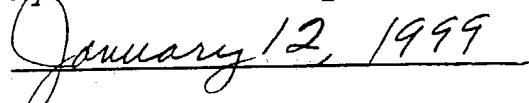
  
Jean Carroll Fleischer

STATE OF TENNESSEE )  
\_\_\_\_\_  
COUNTY OF SULLIVAN )  
                          )  
                          )  
                          )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, Jean Carroll Fleischer, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

  
Linda S. Johnson  
Notary Public

My Commission Expires

  
January 12, 1999

Docket: 70513

**PATENT**

Signed this 21 day of November, 1997

William Whitfield Parham  
William Whitfield Parham

STATE OF TENNESSEE )  
 ) ss.  
COUNTY OF SULLIVAN )

On this 21 day of November, 1997 personally appeared before me, a Notary Public in and for Sullivan County, William Whitfield Parham, to me known and known to me to be the person described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Linda B Allison  
Notary Public

My Commission Expires

January 12, 1999

Docket: 70513/4503/4504

PATENT

ASSIGNMENT

For good and valuable consideration received, I/we, Max Allen Weaver, James John Krutak, Sr., Brian Edison Maxwell, Gerry Foust Rhodes, Samuel David Hilbert, Jean Carroll Fleischer, and William Whitfield Parham, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of my/our inventions, discoveries and improvements disclosed in an application for Letters Patent for

## METHOD FOR PREPARING LIGHT-ABSORBING POLYMERIC COMPOSITIONS

executed by	Max Allen Weaver	the <u>8<sup>th</sup></u> day of <u>December</u> 1999
executed by	James John Krutak, Sr.	the <u>15<sup>th</sup></u> day of <u>March</u> 1999
executed by	Brian Edison Maxwell	the <u>14<sup>th</sup></u> day of <u>December</u> 1999
executed by	Gerry Foust Rhodes	the <u>8<sup>th</sup></u> day of <u>December</u> 1999
executed by	Samuel David Hilbert	the <u>9<sup>th</sup></u> day of <u>December</u> 1999
executed by	Jean Carroll Fleischer	the <u>9<sup>th</sup></u> day of <u>DECEMBER</u> 1999
executed by	William Whitfield Parham	the <u>2<sup>nd</sup></u> day of <u>March</u> 1999-2000

and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and I/we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and I/we further agree that, upon request, but without expense to me/us, I/we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements and to testify in any ex parte or inter partes legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and I/we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its

Docket: 70513

PATENT

designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

Signed this 8th day of December, 1999

Max Allen Weaver  
Max Allen Weaver

Signed this 15th day of March, <sup>2000</sup>  
1999

James John Krutak, Sr.  
James John Krutak, Sr.

Signed this 14th day of December, 1999

Brian Edison Maxwell  
Brian Edison Maxwell

Signed this 8th day of December, 1999

Gerry Foust Rhodes  
Gerry Foust Rhodes

Docket: 70513

PATENT

Signed this 9<sup>th</sup> day of December, 1999

Samuel David Hilbert  
Samuel David Hilbert

Signed this 9<sup>th</sup> day of DECEMBER, 1999

Jean Carroll Fleischer  
Jean Carroll Fleischer

Signed this 2<sup>nd</sup> day of March, 1999-2000

William Whitfield Parham  
William Whitfield Parham

21 SEP 2004